

CanDeal

Client List Trading Simplified Multi-Security Execution

List Trading allows you to send a package of buy and sell inquiries to multiple dealers in order to facilitate recurring maintenance trades, eliminating the need for a series of RFQs and ultimately saving you time. End-to-end connectivity assists with the exchange of accurate information between counterparties - leverage the existing workflow benefits of electronic trading to ensure certainty of execution.

- ▶ Query up to 4 dealers simultaneously with lists of up to 30 securities
- ▶ Products include: Government of Canada Bonds and T-Bills, RRBs, CMBs, Provincial and Corporate Bonds
- ▶ "Due in" protocol promotes orderly price responses
- ▶ End-to-end workflow efficiencies - leverage OMS & STP integrations

Simplified List Creation

We have created a simple workflow solution that allows you to build, manage and save your lists with ease. Depending on your level of integration, lists can be automatically populated from your OMS system or an Excel workbook, or built manually within the CanDeal Viewer.

Unleash New Opportunities

List trading allows you to trade in a wide range of product groups contained within the CanDeal database. Lists can be commingled to include securities from all available product groups which can contain both buy and sell tickets.

Extract The Best Price

A "due in" timer informs the participating dealers how long they have to respond with prices on the securities in your list. Once expired, all prices are released to you at the same time. This creates an orderly and predictable workflow for dealers, improving the speed and quality of prices you receive.

List Trading Workflow

- » List created & sent to dealers
- » Dealers price or pass on securities
- » Dealer prices released simultaneously
- » Client has up to 1 minute to accept prices
- » Dealer has last look to review and accept prices
- » Trade details are automatically sent via STP

Product Scope & Participating Dealers

| DEALER | GoC | CTB | RRB | CMB | PROV | CORP |
|-------------------------------|-----|-----|-----|-----|------|------|
| BANK OF AMERICA MERRILL LYNCH | • | • | • | • | • | • |
| DESJARDINS CAPITAL MARKETS | • | • | • | • | • | • |
| HSBC | • | • | | • | • | • |
| NATIONAL BANK FINANCIAL | • | • | • | • | • | • |
| BMO CAPITAL MARKETS | • | • | • | • | • | • |
| CASGRAIN & COMPANY LTD. | • | • | • | • | • | • |
| CIBC | • | • | • | • | • | |
| RBC CAPITAL MARKETS | • | • | • | • | • | • |
| LAURENTIAN BANK SECURITIES | • | | • | • | • | • |
| SCOTIABANK | • | • | • | • | • | • |
| TD SECURITIES | • | • | • | • | • | • |

Expanded Universe of Securities

When building the technological infrastructure for list trading, we focused on one specific mandate: bring the existing efficiencies of electronic trading to new product groups. That meant creating a master securities database for existing products, while accounting for future scalability. With this foundation in place, we are well positioned to expand our product offering, creating new opportunities for our participants.